

Information Security educational resources for your business

GUIDE TO EXTERNAL INFORMATION SECURITY PROVIDERS

Registered representatives with 1847Financial|HTK who use laptops or other mobile devices for firm business are responsible for the security of the devices and the information contained on the devices. As a financial professional (FP) and a business owner you have a responsibility to protect your clients’ data and assets, entrusted to you, from unauthorized access by cyber criminals and fraudsters. Some FPs have utilized free resources and some have partnered with external technology services to provide information, computer and cyber security for their businesses. An external partner can be very helpful for FPs to protect their businesses especially if the FP does not have the expertise. Some FPs have considered this an important cost to incur when doing business in today’s environment.

Staying up-to-date with the ever-changing regulatory and technological environments can be very challenging, but education and awareness is one vital step to help you protect your business and your clients. FPs have varying needs, based on their unique business. It is your decision what solutions fit your business model. Below, are a few solution providers that FPs have shared with Penn Mutual Information Security that they are using or considering.

Company Name	INVISUS	NPC DataGuard
Website	https://www.invisus.com/solutions/business/infosafe/	https://npcdataguard.com/
Contact	Phone: 801-724-6211 Email: mailto:sales@invisus.com	Haya El Masri, Account Manager Phone: 905-305-6531 Toll free: 1-855-667-2642 ext. 6531 Email: mailto:helmasri@npcdataguard.com
Services offered:	Please contact the vendor for exact prices and options available	
Approximate Monthly Costs (<i>contact vendor directly for pricing details, pricing may vary or change.</i>)	Initial set up and monthly charges depending on # of personnel (For example 1 person is approx. \$495 set up fee and \$64 monthly).	Includes data security, encryption, antivirus, backup and technical support services. All for one monthly fee starting at approx. \$120.
Notebook and Desktop (Hardware)	N/A	FP chooses computer or device
Initial assessment or consult	Yes – Security practices and current system	Yes – Account Manager assesses needs of FP
Report of initial assessment and live review	Included	N/A
Develop written security plan, update and implement necessary safeguards	Included	Incident Response Plan (IRP) template available
Certify successful implementation of safeguards, policies and procedures.	Included	Has a Badge Program that you can share with your clients.
Conduct ongoing assessments, scans etc.	Included	System and hardware is monitored
Training and awareness offered	Included	Online content and alerts are available
Compliance updates	Included	N/A
Respond to cybersecurity incidents and audits with	Included	N/A

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expert support and guidance		
Cybersecurity, technical support, and compliance service for employees authorized to work from home or other remote work sites.	Yes – at additional cost (approx. \$50 initial set up and \$25 monthly charges per employee)	N/A
On-demand support services for business computers etc.	Yes – at additional cost (approx. \$30 monthly charge per computer)	Included
Identity and credit protection, online safety, computer and device security, and unlimited home (personal) technical support services	Yes – at additional cost (approx. monthly charge per individual \$20 or family \$35)	N/A
Windows 10 Professional and 365 Apps	N/A	Included
Microsoft 365 Business Premium	N/A	Yes – at additional cost
Additional Cyber Insurance	N/A	Included

Please note: Penn Mutual does not warrant, guarantee or assume responsibility for the accuracy or reliability of any information offered by the third-party provider listed above. Always make sure you do your research to find a trusted partner to work with.